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# Medición de parámetros de inventario forestal en bosques plantados, mediante tecnología *LiDAR*: Comparación de métodos

# Measuring forest inventory parameters in planted forests using LiDAR technology: Comparison of methods

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#### Abstract

Forest inventory describes the quantity, size, and quality of the trees in a forest and the characteristics of the space where they grow. Traditionally, a forest inventory is carried out manually, with calipers to measure the diameter at breast height (*DBH*), and devices that use geometric principles, such as the clinometer for the estimation of total height (*TH*). This paper documents the applicability of a tablet with integrated LiDAR technology for the measurement of forest inventory parameters, by comparing dendrometric data obtained with LiDAR and traditional methods: geographic position, *DBH*, *TH*, crown diameter (*CD*) and clear stem height (*CS*) of individual trees in a planted coniferous forest. A simple linear regression analysis was performed with each variable, and a *t*-student test was applied to determine differences between means, as well as to calculate the Root Mean Square Error (RMSE) to measure the error between predicted and observed values. The results show a  $R^2$ =0.99 and RMSE=0.657 cm for *DBH*; a  $R^2$ =0.98 and a RMSE=0.369 m for *TH*; a  $R^2$ =0.95 and RMSE=0.341 cm for *CD*, and a  $R^2$ =0.97 and RMSE=0.208 cm for *CS*. The total scanning time for LiDAR data acquisition was 3.4 times less than traditional forest inventory time. The proposed method for forest inventory in planted forests using the mobile device is reliable, accurate, and less time-consuming than the traditional approach.

**Key words:** Terrestrial laser scanning, iPad Pro<sup>®</sup>, forest parameters, augmented reality, free to use software, mobile LiDAR sensor.

#### Resumen

El inventario forestal describe cantidad, tamaño y calidad de los árboles de un bosque, así como las características del espacio donde crecen. Tradicionalmente, el inventario forestal se realiza manualmente, con calibradores (forcípulas) para medir el diámetro a la altura del pecho (*DAP*), y dispositivos que utilizan principios geométricos, como el clinómetro para la estimación de la altura total (*AT*). En el presente trabajo se documenta la aplicabilidad de una tableta con tecnología *LiDAR* integrada para la medición de parámetros de inventario forestal, mediante la comparación de datos dendrométricos obtenidos mediante *LiDAR* y con métodos tradicionales: posición geográfica, *DAP*, *AT*, diámetro de copa (*DC*) y altura de fuste limpio (*FL*) de árboles individuales, en un bosque plantado de coníferas. Se realizó un análisis de regresión lineal simple con cada variable y se aplicó una prueba *t-student*, para la determinación de diferencias entre medias, así como el cálculo de la Raíz del Error Cuadrático Medio (*RECM*) para medir el error entre los valores predichos y los observados. Los resultados muestran una *R*<sup>2</sup>=0.99 y *RECM*=0.657 cm para el *DAP*; *R*<sup>2</sup>=0.98 y un *RECM*=0.369 m para la *AT*; *R*<sup>2</sup>=0.95 y *RECM*=0.341 cm para el *DC* y *R*<sup>2</sup>=0.97 y *RECM*=0.208 cm para el *FL*. El tiempo total del escaneo para la adquisición de datos *LiDAR* fue 3.4 veces menor al tiempo del inventario forestal tradicional. El método propuesto para inventario forestal en bosques plantados mediante el dispositivo móvil es confiable, preciso y consume menos tiempo, en comparación con el enfoque tradicional.

**Palabras clave:** Escaneo láser terrestre, *iPad Pro*<sup>®</sup>, parámetros forestales, realidad aumentada, *software* de uso libre, sensor *LiDAR* móvil.

## Introduction

The increase in the demand for products and services obtained from the forest, together with the need to preserve the environment and natural resources, has led to the establishment of planted forests to meet these demands more efficiently. In addition, they often contribute to reducing pressures on natural forests, which are increasingly focused on biodiversity conservation and the regulation of natural resources such as soil and water (Musálem, 2006). Therefore, the development of accurate methods for timber inventory, oriented to estimate the structural parameters of planted forests, is a crucial forestry tool for predicting forest productivity; besides, it can provide a quantitative assessment of forest stands.

The forest inventory describes the quantity, size, and quality of the trees in a forest, as well as other characteristics of the area where they grow (Ayrey & Hayes, 2018). It is also the basis for analysis and planning, the starting point for sustainable forest management. Estimation of single-tree and whole-stand information is one of the central tasks of forest inventory.

In Mexico, traditionally, forest inventory data are collected using manual measuring equipment such as diameter tapes or calipers for normal diameter (*DBH*), clinometers for total (*TH*) or clear stem (*CS*) height, and flexometers for measuring crown diameters (*CD*). In practice, this is time-consuming, labor-intensive, and costly (Liang et al., 2018; Ritter et al., 2017). This strategy, carried out directly in the forest, is the basis for studies using indirect measurement methods. This requires evaluating and comparing alternative methods such as remote sensing to derive tree parameters (Ciesielski & Sterenczak, 2019; Hernández, 2020).

Terrestrial laser scanning (TLS) is increasingly recognized as an alternative to conventional forest inventory methods (Liang et al., 2016; Newnham et al., 2015). In recent years, automatic algorithms for tree detection and measurement using TLS have been successfully developed (Calders, 2015; Elsherif et al., 2018; Estornell et al., 2017). TLS, by measuring distances to multiple points on the surfaces of surrounding objects, builds 3D point clouds from which the sizes and spatial distributions of trees can be quickly estimated. However, the high cost of TLS equipment (typically priced over US \$40 000) has put it out of reach of many potential users (Mokroš et al., 2021; Tatsumi et al., 2021; Wang et al., 2022). In addition, their weight has also been a challenge, making it difficult to transport them to and within some areas, which adds costs due to time spent in moving and handling them (Gollob et al., 2021).

The need for specialized software is another factor that has limited the collective use of TLS (Elsherif et al., 2018; Hernández, 2020). Alternative methods utilized to overcome this are mobile laser scanning (MLS) (Liang et al., 2014) and short-range photogrammetry (Tomaštík et al., 2017). Certain studies indicate that these mobile devices can acquire 3D point clouds in forests (Gollob et al., 2021; Mokroš et al., 2021; Wang et al., 2022). However, to derive tree-level information from these

clouds (*e. g.*, stem diameter), further analysis must be performed on a separate device with multiple software packages (Wang et al., 2022).

Currently, there is an alternative use of easy-to-use and low-cost applications for iPhone<sup>®</sup>/iPad<sup>®</sup>, personal mobile devices (smartphones or tablets) for registering 3D information of individual trees in a forest inventory context. Since 2020, Apple Inc.® (Apple Inc., 2022) has incorporated a light detection and ranging (LiDAR) sensor in some iPhone<sup>®</sup> and iPad<sup>®</sup> models (Pro versions), which are available with a price tag of approximately USD \$1 000 and are lightweight (187-684 g) compared to other LiDAR devices in the market and also include a programming interface for augmented reality (AR) applications, making it possible to access LiDAR-generated 3D point clouds with personal mobile devices. This device works with the integrated motion Lidar sensor, camera system, sensors (three-axis gyroscope, accelerometer, Inertial Measurement Unit, barometer, ambient light sensor), and a GPS/GNSS system (Apple Inc., 2022).

Tatsumi et al. (2021) developed and tested a free mobile application, called ForestScanner<sup>®</sup> (MAPRY Co. Ltd., 2022), that enables laser scan-based forest inventories using the LiDAR sensor embedded in an iPhone<sup>®</sup>/iPad Pro<sup>®</sup> requiring no manual or post-processing analysis of 3D point clouds, while the user scans trees with the device, the application estimates the *DBHs* and their spatial coordinates, based on real-time object detection and circle adjustment (Tatsumi et al., 2021), using an augmented reality (AR) platform and LiDAR sensor (Kuželka et al., 2020).

The objectives of this work were: (1) To test the performance of the iPad Pro<sup>®</sup>, using LiDAR and AR applications to estimate geographic position, *DBH*, *TH*, *CD*, and *CS* on individual trees; and (2) To compare the results thus obtained with measurements performed using traditional methods. The evaluation and determination of the potential of the iPad Pro<sup>®</sup> in forest inventories based on the level of precision in the estimation of the proposed parameters will make it possible

to establish and provide an innovative, lower-cost, and precise method applicable to forest inventories in planted forests.

## **Materials and Methods**

Data were collected from 20 sampling sites established in a mixed planted forest aged approximately 35 years, with an area of 4.64 ha, located on the banks of the *Cointzio* dam, 12 km Southwest of the city of *Morelia*, state of *Michoacán*, Mexico (19.621 N; -101.262 W). The main species in the plantation are: *Cupressus lindleyi* Klotzsch *ex* Endl. and *Pinus leiophylla* Schiede *ex* Schltdl. & Cham., as well as isolated specimens of *Eucalyptus* sp. and *Casuarina equisetifolia* L.; these taxa were part of forests planted for soil restoration and conservation (Figure 1).



Figure 1. Study stand and location of 20 sampling sites.

Systematic sampling was applied (West, 2009) in  $60 \times 60$  m sampling lines; the sites were circular, measuring 400 m<sup>2</sup> (radius=11.28 m), and were located within a sampled area that amounts to 17.2 % of the total planted forest (Cochran, 1977). Plots were defined as the sampling and scanning unit, considering the plot sizes often adopted in national and international forest inventory programs (*Comisión Nacional Forestal* [Conafor], 2014), given that this sample size was also used by Tatsumi et al. (2021), who developed the app used in the present study, as well as in iPhone<sup>®</sup>/iPad<sup>®</sup> evaluations (Gollob et al., 2021; Mokroš et al., 2021). The sites were located using a model eTrex 20 Garmin<sup>®</sup> GPS (Figure 1), and the geographic positions of the central tree were recorded. All trees with a *DBH* $\geq$ 7.5 cm were measured with a model MANTAX BLUE Haglöf Sweden<sup>®</sup> (60 cm) caliper. The *TH*, *CS*, and *CD* variables were also recorded with a model PM-5/360 Suunto<sup>®</sup> clinometer was used for the first two parameters. The *CD* was measured with a model TP50ME

Truper<sup>®</sup> measuring tape in the North-South and East-West directions; the projection of the ends of the tape on the ground was taken as a reference, and the two measurements were averaged.

### **Inventory data collection**

The *DBH* of trees at each site was measured using the free ForestScanner<sup>®</sup> app (MAPRY Co. Ltd., 2022; Tatsumi et al., 2021), installed on iPad Pro<sup>®</sup>, so that each sampling site was scanned; this application generates point clouds and a database of the estimated the DBH automatically. The ForestScanner<sup>®</sup> device scans objects within a distance of 5 m (maximum scanning range of the sensor), acquiring a 3D point cloud of the surrounding object surfaces. ForestScanner<sup>®</sup> shows the point cloud and 3D triangle meshes on the screen in real time, allowing visual recognition of the scanned surfaces. As the trees are scanned, the diameters of the stems appear instantly on the screen in AR form, and the point cloud is colored with RGB information collected by the device's (complete procedure **S**1 cameras in video: https://drive.google.com/file/d/1al5wPJMeshOneTqk V8XIBrO6fgAKZM2/view?u sp=sharing).

Data acquisition with the iPad Pro<sup>®</sup> laser sensor was initiated at the center of each sampling site. The scanning was performed by walking at normal speed, while the LiDAR sensor collected the 3D measurement data. During the scanning, ForestScanner<sup>®</sup> tracks the relative coordinates of the device from the starting point based on the inertial measurement unit (IMU) (GNSS navigation). The absolute location (geographic coordinates) of the starting point is determined by the GNSS integrated in the iPad Pro<sup>®</sup>.

It is worth mentioning that the newest models of the iPhone 15 Pro<sup>®</sup> and Pro Max<sup>®</sup> (September 2023) already have a precision dual-frequency GPS (Apple Inc., 2022). Tatsumi et al. (2021) and MAPRY Co. Ltd. (2022) provide the steps for surveying a sampling site and detailed specifications for its use (https://mapry.co.jp/). The generated 3D models and data files were exported to a laptop computer.

#### Total height, crown diameter, and clear stem height

The Arboreal<sup>®</sup> application was utilized to estimate the *TH*, *CD*, and *CS* in individual trees at each sampling site (Arboreal AB, 2022). The TH and CS were measured in a very similar way as with Suunto<sup>®</sup> clinometer, with the big difference that, when using the iPad Pro<sup>®</sup> sensor technology, the distance between the sensor and the tree to be measured is not relevant: it only has to be >10 m from the base of the tree, but when the trees are too high (>30 m) it is convenient to move away 15 to 20 (the complete S2): m procedure is shown in video https://drive.google.com/file/d/1Ncvs5HSAFy2iRrLtJo0NYZUOrd3WRY1R/view?usp= sharing). Another advantage is that the measurements of each tree are recorded in individual files on the iPad Pro<sup>®</sup>, and both the database of measured trees (.csv) and the images of the measurements (.jpg) can even be shared with other users (via AirDrop<sup>®</sup>, email or WhatsApp<sup>®</sup>). The *CD* of each tree is measured simultaneously with its *TH* and *CS* (video S2).

#### Data evaluation and analysis

The variables were analyzed by comparing the value of the dendrometric variables estimated in the traditional way (reference measurement) *versus* the value obtained through the alternative technology (LiDAR+AR). The hypothesis was to demonstrate the equality of the values of the variables with both methods. Each variable was estimated with a simple linear regression analysis (Equation 1), using the Coefficient of determination ( $R^2$ ) and the Root Mean Squared Error (*RMSE*) (Infante & Zárate, 2012):

 $Y = a + b X + \varepsilon \quad (1)$ 

Where:

Y = Dependent variable whose value was obtained through the conventional method

a = Coefficient to be estimated, corresponding to the intercept (constant term) that represents the value of *Y* when *X* is 0

b = Coefficient to be estimated that corresponds to the slope and indicates how much *Y* changes for each unit of change in *X* 

X = Independent variable obtained by LiDAR+AR

 $\mathcal{E}$  = Random error of the model, which indicates the variations of Y that are not explained by X

A *t*-student test was applied to test the hypothesis that the two measurement alternatives are significantly different in order to determine the differences between sample variances and construct the confidence interval. The *RMSE* statistic

(Equation 2), which measures the amount of error between two sets of data, was also utilized. In this case, it compares a predicted value ( $V_{LAR}$ ) and an observed or reference value ( $V_{Tra}$ ) (Infante & Zárate, 2012).

$$RMSE = \sqrt{\frac{\sum_{i}^{n} (v_{LAR} - v_{Tra})^{2}}{n}} \qquad (2)$$

Where:

 $V_{LAR}$  = Value of the variable (*DBH*, *TH*, *CD*, and *CS*) estimated or predicted by the regression

 $V_{Tra}$  = Reference value of the same parameters, estimated with traditional methods

n = Number of samples used in the analysis (446 trees) from 20 sampling sites

### Results

The scanning time per site to record *DBH* and geographic position using the ForestScanner<sup>®</sup> LiDAR app ranged from 0.8 to 3.8 min, with an average of 2.3 min per site. A total of 45.6 min was required for the 446 total trees at the 20 sampling sites, without considering the travel time between sites. This registration activity was carried out by a single person (Table 1).

**Table 1.** Comparación del tiempo y número de personas requeridas para medir losparámetros de inventario (446 árboles) con el método propuesto (iPad Pro®) versusel método tradicional.

|                        | LiDA                                 | Traditional method |                      |                             |        |       |                   |                      |
|------------------------|--------------------------------------|--------------------|----------------------|-----------------------------|--------|-------|-------------------|----------------------|
|                        | iPad Pro <sup>®</sup> an             | d Arboreal®        | Total<br>time        | Caliper                     | Clinon | neter | Measuring<br>tape | Total<br>time        |
| Measured<br>parameters | <i>DBH</i> and<br>446<br>coordinates | TH CS CD           | All the<br>variables | <i>DBH</i> and 1 coordinate | тн     | CS    | CD                | All the<br>variables |
| Number of people       | 1*                                   | 1*                 | 1                    | 2**                         |        | 2'    | **                | 2**                  |
| Time spent (h)         | 0.76                                 | 3.55               | 4.31                 | 3.99                        |        | 10    | .65               | 14.64                |
| People per hour        | 0.76                                 | 3.55               | 4.31                 | 1.96                        |        | 5.    | 33                | 7.32                 |

\* Single operator, measurements are automatically recorded on the device. \*\* Someone to measure the *DBH*, *TH*, *CS*, and *CD* and one more person to record the data in a format, which must then be entered into a computer program. *DBH* = Normal diameter; *TH* = Total height; *CD* = Crown diameters; *CS* = Clear stem.

The measurement time for the reference *DBH* data, carried out by two people with a caliper and only the central tree coordinate, averaged 12 min per site, adding up to 239.5 min (3 h 59.4 min). In contrast, the iPad Pro<sup>®</sup> method reduced the time required to measure the *DBH* to 19.03 %, *i. e.*, 5.25 times less (239.5 min *vs.* 45.6 min), with the bonus that it is performed by a single person (Table 1). Furthermore, all data for each of the scanned trees —including their geographic position— are recorded in an exportable digital file, unlike the traditional inventory, which records only the coordinates of the central tree and requires all data and inventory information to be subsequently captured through additional cabinet work.

The measurement time for the *TH*, *CD*, and *CS* parameters with the Arboreal<sup>®</sup> app ranged between 2.5 and 18.9 min, with an average of 10.7 min per site and a total time of 213.2 min (3 h 33 min), and was performed by a single person (Table 1). In contrast, with classical measuring instruments for *TH*, *CS*, and *CD* with two persons, the total measurement time was 638.8 min (10 h 39 min). The use of the iPad Pro<sup>®</sup>

reportedly reduced the number of hours and people required to perform these measurements to 33.37 % (tables 1 and 2), that is, they took 3 times less (638.8 min *vs.* 213.2 min). An additional advantage is that only one person carried them out, and all the data were recorded in an exportable digital file, unlike the traditional method, which requires two people and the capturing of the field information at the office, which implies a greater time and office staff consumption.

**Table 2.** Statistics derived from the two-sample *t*-student test, between the time consumed in LiDAR+AR measurements and with the traditional method.

| Parameter     | t-value | Degrees of | <i>n</i> -value | Sample estimates |        |  |
|---------------|---------|------------|-----------------|------------------|--------|--|
| i di difetter | t value | freedom    |                 | Mean x           | Mean y |  |
| DBH           | 9.775   | 20.669     | 3.36E-09        | 11.97            | 2.28   |  |
| TH-CD-CS      | 7.558   | 25.275     | 6.06E-08        | 31.94            | 10.66  |  |

*DBH* = Normal diameter; *TH* = Total height; *CD* = Crown diameters; *CS* = Clear stem.

A *t*-test showed significant differences (p-value<0.05) in the measuring times between the two methods (Table 2).

A linear regression comparison of the parameters analyzed showed an overall good fit in terms of the deviation of the estimates with the LiDAR+AR technology and the reference measurements, with an  $R^2$ =0.991 and RMSE=0.657 cm for DBH, an  $R^2$ =0.985 and RMSE=0.369 m for TH, an  $R^2$ =0.955 and RMSE=0.341 cm for CD, and an  $R^2$ =0.973 and RMSE=0.208 cm for CS (Figure 2, Table 3).



A = DBH; B = TH; C = CD; D = CS.

**Figure 2.** Linear regression of the relationship between LiDAR+AR measurements and the tree parameter measurements using the traditional method.

|        |      |                       | Statis            | tic             |                    | Residual<br>standard                 |                | Statistic F                    |                 |
|--------|------|-----------------------|-------------------|-----------------|--------------------|--------------------------------------|----------------|--------------------------------|-----------------|
| Paramo | eter | Estimated coefficient | Standard<br>error | <i>t</i> -value | <b>Pr(&gt; t )</b> | error (444<br>degrees of<br>freedom) | R <sup>2</sup> | (444<br>degrees of<br>freedom) | <i>p</i> -value |
| DBH    | а    | -0.122                | 0.107             | -1.146          | 0.252              | 0.658                                | 0.991          | 50 490.0                       | 2.2E-16         |
|        | b    | 0.999                 | 0.004             | 224.691         | 2.0E-16            |                                      |                |                                |                 |
| ТН     | а    | 0.030                 | 0.094             | 0.320           | 0.749              | 0.370                                | 0.985          | 29 870.0                       | 2.2E-16         |
|        | b    | 0.985                 | 0.006             | 172.840         | 2.0E-16            |                                      |                |                                |                 |
| CD     | а    | 0.104                 | 0.050             | 2.087           | 0.038              | 0.341                                | 0.955          | 9 462.0                        | 2.2E-16         |
|        | b    | 0.956                 | 0.010             | 97.272          | 2.0E-16            |                                      |                |                                |                 |
| CS     | a    | 0.008                 | 0.028             | 0.272           | 0.786              | 0.208                                | 0.973          | 16 260.0                       | 2.2E-16         |

**Table 3.** Values of the coefficients (*a* and *b*) estimated for the tree parameters through linear regression.

b 0.976 0.008 127.519 2.0E-16

*DBH* = Normal diameter; *TH* = Total height; *CD* = Crown diameters; *CS* = Clear stem.

Welch's two-sample *t*-test also confirmed a good fit for the prediction equations of the dendrometric parameters measured with the LiDAR+AR and traditional methods (Figure 2, Table 3) for measuring the *DBH*, *TH*, *CD*, and *CS* and exhibited no significant differences (*p*-value>0.05) (Table 4). Therefore, it may be assumed that there is equality between the estimates of such parameters measured with the iPad Pro<sup>®</sup> as an alternative method (LiDAR+AR) and those obtained in a conventional way (caliper, clinometer, and measuring tape), due to the high  $R^2$  values and low *RMSE* values.

|           |                 | Τ          | wo-sample       | e t-test         |        |  |  |
|-----------|-----------------|------------|-----------------|------------------|--------|--|--|
| Parameter | t-value         | Degrees of | n_vəluo         | Sample estimates |        |  |  |
|           | <i>t</i> -value | freedom    | <i>p</i> -value | Mean x           | Mean y |  |  |
| DBH       | 0.323           | 889.990    | 0.746           | 22.966           | 22.814 |  |  |
| ТН        | 0.999           | 889.950    | 0.317           | 16.231           | 16.025 |  |  |
| CD        | 0.978           | 889.570    | 0.328           | 4.787            | 4.680  |  |  |
| CS        | 0.884           | 889.890    | 0.377           | 3.414            | 3.338  |  |  |

| Table 4. | Statistics | of the | two-sample | <i>t</i> -student | test using | LiDAR+AR | measureme | ents |
|----------|------------|--------|------------|-------------------|------------|----------|-----------|------|
|          |            |        | and the t  | raditional        | method.    |          |           |      |

*DBH* = Normal diameter; *TH* = Total height; *CD* = Crown diameters; *CS* = Clear stem.

### Discussion

LiDAR scans with iPad  $Pro^{\mathbb{R}}$  successfully detected 100 % of the trees with *DBH*>7.5 cm. This implies that the scans and point clouds are generated completely in the area

of the stem to be measured (height of 1.30 m from ground level) and agrees with the results obtained by Bobrowski et al. (2022), Brach et al. (2023) and Çakir et al. (2021), who also detected 100 % of the measured trees. Other studies cite lower detection percentages, comparing the use of LiDAR scanning apps for iPad Pro<sup>®</sup>: Gollob et al. (2021) and Wang et al. (2022) document 85 to 97 % detection with mobile laser scanning (MLS) and personal laser scanning (PLS); Bauwens et al. (2016), Ko et al. (2021) and Zhou et al. (2019) also detected percentages below 100 % in trees with *DBH*>10 cm. The detection rate decreases in sampling plots with high tree density and *DBH*<5 cm (Bauwens et al., 2016; Gollob et al., 2021; Zhou et al., 2019).

Çakir et al. (2021) utilized the iPad Pro<sup>®</sup> LiDAR sensor to generate 3D models and estimate the *DBH* variable comparing it with estimates made using TLS. The best fit was for *DBH* estimation with TLS ( $R^2$ =0.995, *RMSE*=7.02 cm); with iPad Pro<sup>®</sup>, the  $R^2$  was 0.995, and the *RMSE*, 8.72 cm. On the other hand, Bobrowski et al. (2022) compared circumference at breast height (*CBH*) measurements with TLS and point clouds generated by the LiDAR sensor of the iPad Pro<sup>®</sup> using the Abound Capture<sup>®</sup> app: they estimated an  $R^2$ = 0.899 and an *RMSE*= 7.41 with the tablet, and an  $R^2$ =0.912 and *RMSE*=6.51 utilizing TLS.

When Brach et al. (2023) compared *DBH* measurements with traditional methods *versus* point clouds generated with iPad Pro<sup>®</sup> and recorded a fit with an  $R^2$ =0.990 and an *RMSE*=5.340 cm. It should be noted that the LiDAR scanning applications used by Bobrowski et al. (2022), Brach et al. (2023), Çakir et al. (2021), Gollob et al. (2021) and Wang et al. (2022) to measure *DBH* were not developed for this purpose, unlike the app used in the present study (ForestScanner<sup>®</sup>), which was developed exclusively for the purpose of measuring *DBH* in forest inventories (Tatsumi et al., 2021). This situation allowed to improve the detection of all the trees proposed herein for inventory (*DBH*>7.5 cm), as well as the quality of the point clouds (rendered denser).

Compared to traditional measurement techniques, the total measurement time with the iPad Pro<sup>®</sup> was 3.4 times faster (tables 1 and 2), in agreement with the findings of Gollob et al. (2021), Ko et al. (2021), and Wang et al. (2022), whose measurement times for *DBH* were 3.2, 2.5, and 3.8 times faster, respectively.

As for the *TH* variable, the literature only indicates its estimation with devices such as mobile LiDAR (Heo et al., 2019) and smartphones with RGB-D SLAM (Ahamed et al., 2023; Fan et al., 2018). No studies using this type of technology in mobile devices for estimating the *CD* and *CS* were identified.

Gollob et al. (2021) noted that, in general, *DBHs*>5 cm were overestimated, and *DBHs*>35 cm were underestimated, and so did Wang et al. (2022), regardless of whether the iPad Pro<sup>®</sup> or PLS were utilized. Moreover, Bobrowski et al. (2022), Brach et al. (2023), Çakir et al. (2021) and Wang et al. (2022) found that the errors were due to the lack of post-processing of the point clouds generated with the application, which, according to Hernández (2020), must be exported to a computer using specialized software.

According to the results of the present study for the four parameters analyzed, the regression line fit accounts for 97-99 % of the variability in the data (Figure 2; Table 4). This suggests a strong correlation between the methods evaluated; the minimal differences in measurements between them are due to the linear relationship established, so that the measurements made with one method can be predicted with high accuracy based on those carried out with the other. This is because the algorithms of the ForestScanner<sup>®</sup> app were created exclusively for the detection and measurement of *DBH* in conifers, and their use has been tested in different conditions of natural and planted forests (MAPRY Co. Ltd., 2022; Tatsumi et al., 2021).

The graphic comparisons (Figure 2) show that the relationship between the estimates of the parameters carried out with LiDAR+AR technology and those made using traditional methods exhibit a similar tendency. This agrees with Zhou et al. (2019), who estimated *DBH* with MLS and obtained a fit with an  $R^2$ =0.99 and

*RMSE*=0.70 cm. Likewise, Heo et al. (2019) calculated with high accuracy the *TH* of urban trees ( $R^2$ =0.98 and *RMSE*=0.359 m), while the  $R^2$  was 0.99 and the *RMSE* was 0.462 m for the *TH* of trees from a planted forest. No studies were identified in which *CD* and *CS* parameters were estimated using a mobile device (mobile LiDAR, AR, or any other sensor).

Some of the main advantages of the personal and mobile laser scanning systems proven by this study and others (Bobrowski et al., 2022; Brach et al., 2023; Çakir et al., 2021; Gollob et al., 2021; Tatsumi et al., 2021; Wang et al., 2022) are the fast scanning time, the high accuracy (*RMSE*<1 cm) and, above all, the cost of the device, as the PLS (GeoSLAM ZEB HORIZON) used by Gollob et al. (2021) costs approximately USD \$50 000. Another advantage of utilizing the iPad Pro<sup>®</sup> is the availability of a wide variety of laser scanning apps for building 3D models and point clouds.

Conventional TLSs have a range of 100 to 2 000 m (Hernández, 2020; Tomaštík et al., 2017), depending on the brand; therefore, they can provide measurements for larger diameters, total heights of large trees, and various crown shapes. In contrast, the LiDAR sensor of the iPad Pro<sup>®</sup> only provides measurements for a maximum distance of 5 m. Thus, one of its main disadvantages is its limited scope, which makes it almost impossible to derive other information beyond stem position and *DBH*, as well as certain understory characteristics. However, scans with the iPad Pro<sup>®</sup> require no post-processing and are just as accurate as a TLS for measuring *DBH*, achieving an accuracy of  $\pm 1$  cm according to the manufacturer (Apple Inc., 2022; Calders, 2015; Hernández, 2020). LiDAR on the iPad Pro<sup>®</sup> in combination with AR technology also works to estimate the *TH* (Kuželka et al., 2020).

The present study used this combination: the LiDAR sensor of the iPad Pro<sup>®</sup> was applied to measure the *DBH* and the respective geographic locations; this information can be of great use for competition or biodiversity models utilized in studies. Meanwhile, tree heights and crown metrics were measured using AR

technology. Dai et al. (2019) used a similar combination, merging point clouds obtained with TLS and ALS to measure *TH* and crown metrics.

According to Gollob et al. (2021), Piermattei et al. (2019) and Tomaštík et al. (2017), a disadvantage of laser scanning is that the percentage of tree detection may be lower in natural forests, where the density and number of plant strata could be an issue for visualizing the height at which *DBH* is measured, due to obstructions by herbaceous plants or shrubs. In this sense, more studies should be conducted under different conditions; for example, with tropical tree species where herbaceous and shrub species are present in the understory and under conditions of high densities or steep slopes.

### **Comparison with other studies**

Table 5 shows results from other studies that used mobile devices, LiDAR, or photogrammetry for comparison purposes. Emphasis is placed on recent research using low-cost equipment to measure forest inventory variables automatically. Various studies on the measurement of forest inventory parameters (mainly *DBH* and *TH*) using point clouds obtained with photogrammetry or LiDAR sensors are identified.

**Table 5.** List of studies that have used mobile devices to record tree parameters forforest inventory purposes.

| Reference  | Device/applied technology                         | No. of<br>trees | Type of<br>forest | Detection<br>(%) | R <sup>2</sup>   | RMSE (cm)         |
|------------|---|-----------------|-------------------|------------------|------------------|-------------------|
| This study | iPad Pro <sup>®</sup> /ForestScanner <sup>®</sup> | 446             | Planted           | 100              | <i>DBH</i> =0.99 | <i>DBH</i> =0.657 |
|            |   |                 | Conifers          |                  | <i>TH</i> =0.98  | <i>TH</i> =0.369  |

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|                             | iPad Pro <sup>®</sup> /Arboreal <sup>®</sup>                       |     |                      | 100   | CD=0.95           | CD=0.341          |
|-----------------------------|--|-----|----------------------|-------|-------------------|-------------------|
|                             |  |     |                      |       | <i>CS</i> =0.97   | <i>CS</i> =0.208  |
| Guenther et<br>al. (2024)   | iPad Pro®  | 203 | Natural<br>Mixed     | 100   | <i>DBH</i> =0.98  | <i>DBH</i> =1.550 |
| Ahamed et<br>al. (2023)     | Smartphon e/fotogrametría  | 414 | Urban<br>Mixed       | 100   | <i>DBH</i> =0.98  | <i>DBH</i> =1.550 |
| Gülci et al.<br>(2023)      | iPhone Pro®  | 105 | Mixed<br>Planted     | 100   | <i>DBH</i> =0.89  | <i>DBH</i> =2.330 |
| Brach et al.<br>(2023)      | iPad Pro®/Lumentum   | 776 | Natural<br>Mixed     | 100   | <i>DBH</i> =0.990 | <i>DBH</i> =5.340 |
| Bobrowski et                | iPad Pro <sup>®</sup> /Abound Capture                              | 100 | Urban                | 100   | <i>CBH</i> =0.90  | <i>CBH</i> =7.410 |
| al. (2022)                  | TLS/FARO FOCUS 3D X130   |     | Mixed                | 100   | <i>CBH</i> =0.91  | <i>CBH</i> =6.510 |
| McGlade et<br>al. (2022)    | Azure Kinect/regular laptop<br>single                              | 502 | Planted<br>Mixed     |       |                   | <i>DBH</i> =8.430 |
| Wang et al.<br>(2022)       | iPad Pro®/Zappcha  | 150 | Planted<br>Conifers  | 90    | <i>DBH</i> =0.52  | <i>DBH</i> =5.200 |
| Çakir et al.                | iPad Pro <sup>®</sup> /Forge                                       | 62  | Natural              | 100   | <i>DBH</i> =0.98  | <i>DBH</i> =0.590 |
| (2021)                      | TLS/FARO Focus M70   |     | Conifers             | 100   | <i>DBH</i> =0.99  | <i>DBH</i> =0.560 |
| Gollob et al.               | <i>iPad®/3D Scanner</i> App  | 424 | Natural              | 97.33 |                   | <i>DBH</i> =3.640 |
| (2021)                      | iPad <sup>®</sup> /Polycam <sup>®</sup>                            |     | Mixed                | 90.65 |                   | <i>DBH</i> =4.510 |
|                             | iPad <sup>®</sup> /SiteScape <sup>®</sup>                          |     |                      | 94.68 |                   | <i>DBH</i> =3.130 |
|                             | PLS/GeoSLAM ZEB HORIZON  |     |                      | 99.52 |                   | <i>DBH</i> =1.590 |
| Tatsumi et<br>al. (2021)    | iPad Pro <sup>®</sup> /ForestScanner                               | 672 | Natural and planted  | 100   | <i>DBH</i> =0.96  | <i>DBH</i> =2.270 |
| Mokroš et al.<br>(2021)     | iPad Pro <sup>®</sup> /3D Scanner App                              | 74  | Natural<br>Broadleaf | 77.24 | <i>DBH</i> =0.97  | <i>DBH</i> =3.140 |
| Liu <i>et al.</i><br>(2020) | MLS/Velodyne VLP-16  | 180 | Urban<br>Mixed       | 100   | <i>DBH</i> =0.97  | <i>DBH</i> =2.500 |
| Zhou et al.<br>(2019)       | MLS/Velodyne VLP-16  | 71  | Urban<br>Broadleaf   | 100   | <i>DBH</i> =0.99  | <i>DBH</i> =0.700 |
| Heo et al.                  | MLS/SLAM   | 39  | Urban                | 100   | <i>DBH</i> =0.91  | DBH=3.77          |
| (2019)                      |  |     | Broadleaf            |       | <i>TH</i> =0.98   | <i>TH</i> =0.359  |
| Piermattei et               | CRP/Nikon <sup>®</sup> D800  | 140 | Natural              | 84.25 |                   | <i>DBH</i> =3.090 |
| al. (2019)                  | TLS/Riegl VZ-2000  |     | Mixed                | 93.75 |                   | <i>DBH</i> =1.780 |
| Tomaštík et<br>al. (2017)   | <i>Tango<sup>®</sup>/Lenovo<sup>®</sup></i> Phab 2 Pro<br>multiple | 118 | Natural<br>Conifers  |       |                   | <i>DBH</i> =1.150 |
|                             | <i>CRP/Canon</i> <sup>®</sup> EOS 5D Mark II<br>multiple           |     |                      |       |                   | <i>DBH</i> =1.830 |
| Hyyppä et al.<br>(2018)     | <i>Tango<sup>®</sup>/Lenovo<sup>®</sup></i> Phab 2 Pro<br>single   | 240 | Natural<br>Conifers  |       |                   | <i>DBH</i> =0.730 |
|                             | <i>Kinect/regular computer<br/>single</i>                          | 41  |                      |       |                   | <i>DBH</i> =1.900 |
| Bauwens et<br>al. (2016)    | MLS/ZEB1   | 331 | Natural<br>Mixed     | 91    | <i>DBH</i> =0.99  | <i>DBH</i> =1.110 |

| Brouwer | Kinect/regular laptop multiple | 150 | Natural | 83.75 | <i>DBH</i> =1.300 |
|---------|--------------------------------|-----|---------|-------|-------------------|
| (2013)  | TLS/Riegl VZ-400 multiple      |     | Mixed   | 91.75 | <i>DBH</i> .740   |

DBH = Diameter at breast height; CBH = Circumference at breast height; TH = Total height; CD = Crown diameter; CS = Clear-stem height.

The application of the iPad Pro<sup>®</sup> LiDAR sensor is a relatively recent method, so it can be considered novel; it has been used by Bobrowski et al. (2022), Brach et al. (2023), Çakir et al. (2021), Gollob et al. (2021), Guenther et al. (2024), Gülci et al. (2023), Mokroš et al. (2021), Tatsumi et al. (2021) and Wang et al. (2022) exclusively for measuring the *DBH*. In this study, the iPad Pro<sup>®</sup> —in Mexico, the first personal mobile device with an integrated LiDAR sensor combined with AR technology— was used to measure the parameters *DBH*, *TH*, *CS*, and *CD* relevant to forest inventories. The other studies can be grouped by the following technologies: Google Tango<sup>®</sup> (Hyyppä et al., 2018; Tomaštík et al., 2017), Microsoft Kinect<sup>®</sup> (Hyyppä et al., 2018; McGlade et al., 2022), and photogrammetry (Piermattei et al., 2019; Tomaštík et al., 2017).

The values of this study for *RMSE* in *DBH* estimation were better and similar to those obtained by Bobrowski et al. (2022), Brach et al. (2023), Çakir et al. (2021), Guenther et al. (2024) and Gülci et al. (2023), who utilized iPad Pro<sup>®</sup>, as well as those of Hyyppä et al. (2018) and Zhou et al. (2019), who used Google Tango<sup>®</sup>, Kinect<sup>®</sup>, photogrammetry, and MLS/Velodyne VLP-16, respectively (Table 5).

It should be noted that the complete set of tree parameters measured (location, *DBH*, *TH*, *CD*, and *CS*) was not estimated in the studies shown in Table 5, most of which estimated only the *DBH*. A comparative evaluation of the parameters measured by the various studies is difficult because different technologies were used for different forest structures and types.

This contribution could help to increase the use or adoption of the methodology for measurements with LiDAR and AR technology integrated into personal mobile devices, such as the iPad Pro<sup>®</sup> (or any other low-cost mobile LiDAR device), which has a high potential for operational use compared to other alternative methodologies in the inventory of planted forests.

## Conclusions

The applications and workflow of the LiDAR+AR combination have been shown to require less time and personnel than conventional forest measurement tools for determining the forest parameters diameter at breast height, total height, clear-stem height, and crown diameter. This simple strategy and method can significantly reduce the costs of conducting forest inventory in planted forests.

This contribution supports the future use of the iPad Pro<sup>®</sup> LiDAR sensor for making an informed decision on how to utilize this recent remote sensing technique embedded in commercial mobile devices. Its advantages have been demonstrated: it can be applied by a single person, and the data storage is automatic and digital. Its limitations have equally been identified, and so have the configuration and applications that can be used for the inventory of forest plots or sampling sites. This novel mobile LiDAR technology on personal devices represents the next level (after TLS, MLS, and PLS technologies) toward an affordable and efficient forest inventory methodology.

Future studies should focus on evaluating the performance of mobile LiDAR on personal devices under conditions other than planted forests, such as natural tropical hardwood forests and other forest ecosystems, as well as comparison with terrestrial and airborne LiDAR devices.

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#### **Conflict of interest**

The authors declare that they have no conflict of interest.

#### **Contribution by author**

José Antonio Hernández-Moreno: data collection, registry, and analysis, preparation of graphs, and drafting of the manuscript; Diego Rafael Pérez-Salicrup: approach, follow-up of the results, revision and editing of the manuscript; Alejandro Velázquez-Martínez: follow-up of the results, revision and editing of the manuscript.

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